## List Homomorphisms and Interval Digraphs

Pavol Hell, Simon Fraser University

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### List Homomorphisms and Interval Digraphs

Joint with Arash Rafiey

currently at IDSIA, University of Lugano

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#### Given digraphs G and H

A homomorphism  $f : G \to H$  is a mapping  $f : V(G) \to V(H)$ such that  $xy \in E(G) \implies f(x)f(y) \in E(H)$ 

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Undirected graphs are viewed as symmetric digraphs

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Does an input digraph G admit a homomorphism to H?



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Does an input digraph G admit a homomorphism to H?

Example:  $H = K_t$ 

Does G admit a t-colouring?

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Does an input digraph G admit a homomorphism to H?

Example:  $H = K_t$ 

Does G admit a t-colouring?

Polynomial if  $t \leq 2$ , otherwise NP-complete

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Does an input digraph G admit a homomorphism to H?

Example:  $H = K_t$ 

Does G admit a t-colouring?

Polynomial if  $t \leq 2$ , otherwise NP-complete

G admits a 2-colouring if and only if it contains no odd cycle

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### **Constraint Satisfaction Problems**

#### CSP with fixed template H

*H* with V(H) and relations  $R_1(H), \ldots, R_k(H)$ 

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#### CSP with fixed template H

*H* with V(H) and relations  $R_1(H), \ldots, R_k(H)$ Does an input *G* admit a homomorphism to *H*?

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#### CSP with fixed template H

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*G* has corresponding relations  $R_1(G), \ldots, R_k(G)$ 

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#### CSP with fixed template H

*H* with V(H) and relations  $R_1(H), \ldots, R_k(H)$ Does an input *G* admit a homomorphism to *H*?

*G* has corresponding relations  $R_1(G), \ldots, R_k(G)$ Homomorphisms preserve all relations

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#### Feder - Vardi, 1993, conjectured for any template H

#### The CSP problem for H is polynomial or is NP-complete

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#### Feder - Vardi, 1993, conjectured for any template H

The CSP problem for H is polynomial or is NP-complete

Still open, very active

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The homomorphism problem for H is polynomial if H is bipartite or contains a loop; otherwise it is NP-complete

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# The homomorphism problem for H is polynomial if H is bipartite or contains a loop; otherwise it is NP-complete

H+Nešetřil 1990

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The homomorphism problem for H is polynomial if H is bipartite or contains a loop; otherwise it is NP-complete

H+Nešetřil 1990

### If *H* is a digraph

If dichotomy holds for all digraph templates *H* then the dichotomy conjecture holds for all CSP

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The homomorphism problem for H is polynomial if H is bipartite or contains a loop; otherwise it is NP-complete

H+Nešetřil 1990

### If *H* is a digraph

If dichotomy holds for all digraph templates *H* then the dichotomy conjecture holds for all CSP

Feder-Vardi 1993

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Each vertex *x* of the input digraph *G* has a *list*  $L(x) \subseteq V(H)$ 

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Each vertex *x* of the input digraph *G* has a *list*  $L(x) \subseteq V(H)$ Is there a homomorphism  $f : G \to H$  for which all  $f(x) \in L(x)$ ?

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#### Fixed graph H

Processors and connections



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#### Input graph G

Tasks and communications

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### Another Example Application





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### Another Example Application



#### Lists

#### Lists of allowed decisions

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# **Reflexive Undirected Graphs**

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Interval graph

Vertices correspond to intervals and adjacency to intersection



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#### Interval graph

Vertices correspond to intervals and adjacency to intersection





### List Homomorphism Problem for Graphs

For a reflexive graph H

If H is an interval graph, then the problem for H is polynomial

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### List Homomorphism Problem for Graphs

#### For a reflexive graph H

If H is an interval graph, then the problem for H is polynomial Otherwise the problem is NP-complete

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### List Homomorphism Problem for Graphs

#### For a reflexive graph H

### If H is an interval graph, then the problem for H is polynomial Otherwise the problem is NP-complete

Feder+H 1998

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# Min Ordering

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A min ordering < of V(H)

$$uv, u'v' \in E(H) \implies \min(u, u')\min(v, v') \in E(H)$$

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A min ordering < of V(H)

 $uv, u'v' \in E(H) \implies \min(u, u')\min(v, v') \in E(H)$ 



#### Theorem

If H admits a min ordering, then the list homomorphism problem for H is polynomial

Gutjahr, Welzl, Woeginger 1992

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# Local Consistency Algorithm



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# Local Consistency Algorithm



# Min ordering

## Each reflexive interval graph H admits a min ordering

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# Local Consistency Algorithm



#### Min ordering

Each reflexive interval graph H admits a min ordering

## Reflexive interval graph H

The list homomorphism problem for H can be solved by a local consistency algorithm of width 1.

Lekkerkerker, Boland

*H* is an interval graph  $\iff$  *H* has no AT and no hole

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Lekkerkerker, Boland

*H* is an interval graph  $\iff$  *H* has no AT and no hole

# AT = Asteroidal Triple

u, v, w, any pair joined by a path avoiding the neighbourhood of the third

#### Hole

Induced cycle of length at least four

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Lekkerkerker, Boland

*H* is an interval graph  $\iff$  *H* has no AT and no hole

# AT = Asteroidal Triple

u, v, w, any pair joined by a path avoiding the neighbourhood of the third



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#### Hole

Induced cycle of length at least four

#### For a reflexive graph H

# If H is an interval graph, then the problem for H is polynomial Otherwise the problem is NP-complete

Feder+H 1998

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#### For a reflexive graph H

If H is an interval graph, then the problem for H is polynomial Otherwise the problem is NP-complete

Feder+H 1998

# For an irreflexive graph H

If H is a bipartite graph whose complement is a circular arc graph, then the problem for H is polynomial Otherwise the problem is NP-complete

Feder+H+Huang 1999

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## A *k*-ary polymorphism on *H*

A homomorphism  $\phi: H^k \to H$ 

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# A k-ary polymorphism on H

A homomorphism  $\phi: H^k \to H$ 

# A conservative polymorphism f

A polymorphism  $\phi: H^k \to H$  with  $\phi(u_1, \ldots, u_k) \in \{u_1, \ldots, u_k\}$ 

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# A k-ary polymorphism on H

A homomorphism  $\phi: H^k \to H$ 

# A conservative polymorphism f

A polymorphism  $\phi: H^k \to H$  with  $\phi(u_1, \ldots, u_k) \in \{u_1, \ldots, u_k\}$ 

# Heredity property

The restriction of a conservative polymorphism is a polymorphism

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## A conservative semi-lattice on H

A conservative binary polymorphism  $f: H^2 \rightarrow H$  which is commutative and associative

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## A conservative semi-lattice on H

A conservative binary polymorphism  $f: H^2 \rightarrow H$  which is commutative and associative

# Min ordering

*f* is a conservative semi-lattice on *H* if and only if  $f(u, v) = \min(u, v)$  for some linear ordering of V(H)

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#### A conservative majority on H

A conservative ternary polymorphism  $g: H^3 \rightarrow H$  such that

• g(u, u, v) = g(u, v, u) = g(v, u, u) = u

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#### A conservative majority on H

A conservative ternary polymorphism  $g: H^3 \rightarrow H$  such that

• g(u, u, v) = g(u, v, u) = g(v, u, u) = u

#### Theorem

If H admits a conservative majority, then the list homomorphism problem for H is polynomial

Feder+Vardi 1993; Jeavons 1998

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#### A conservative Maltsev on H

A conservative ternary polymorphism  $h: H^3 \to H$  such that h(u, u, v) = h(v, u, u) = v

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#### A conservative Maltsev on H

A conservative ternary polymorphism  $h: H^3 \to H$  such that h(u, u, v) = h(v, u, u) = v

#### Theorem

If H admits a conservative Maltsev, then the list homomorphism problem for H is polynomial

Jeavons, Cohen, Gyssens 1997

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#### For reflexive graphs

# If H is an interval graph, then H admits a min ordering and a conservative majority

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If *H* is an interval graph, then *H* admits a min ordering and a conservative majority Otherwise *H* contains an asteroidal triple or a chordless cycle > 3, and admits neither a min ordering nor a conservative majority

Brewster+Feder+H+Huang+MacGillivray 2007

Rafiey+H 2008

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If H is a bipartite graph whose complement is a circular arc graph, then H admits a min ordering and a conservative majority

Otherwise H contains an edge-asteroid or a chordless cycle > 4, and admits neither a min ordering nor a conservative majority

Feder+H+Huang 1999

If H is a bipartite graph whose complement is a circular arc graph, then H admits a min ordering and a conservative majority

Otherwise H contains an edge-asteroid or a chordless cycle > 4, and admits neither a min ordering nor a conservative majority

Feder+H+Huang 1999

The list homomorphism problem for a graph H

If H has nice polymorphism, then the problem is polynomial

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If H is a bipartite graph whose complement is a circular arc graph, then H admits a min ordering and a conservative majority

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Feder+H+Huang 1999

## The list homomorphism problem for a graph H

If H has nice polymorphism, then the problem is polynomial If H has no nice polymorphism, then there is an obstruction, and the problem is NP-complete

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If H is a bipartite graph whose complement is a circular arc graph, then H admits a min ordering and a conservative majority

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Feder+H+Huang 1999

## The list homomorphism problem for a graph H

If H has nice polymorphism, then the problem is polynomial If H has no nice polymorphism, then there is an obstruction, and the problem is NP-complete

# Obstruction detected in polynomial time

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# List Homomorphism Problem for Digraphs

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If a list homomorphism problem for digraph H admits a conservative semi-lattice, majority, or Maltsev, then the list CSP for H is polynomial

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If a list homomorphism problem for digraph H admits a conservative semi-lattice, majority, or Maltsev, then the list CSP for H is polynomial

## List homomorphism problem for digraph *H*

If each pair  $u, v \in V(H)$  admits a conservative polymorphism f of H such that  $f|\{u, v\}$  is semi-lattice, majority, or Maltsev, then the problem is polynomial.

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If a list homomorphism problem for digraph H admits a conservative semi-lattice, majority, or Maltsev, then the list CSP for H is polynomial

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If each pair  $u, v \in V(H)$  admits a conservative polymorphism f of H such that  $f|\{u, v\}$  is semi-lattice, majority, or Maltsev, then the problem is polynomial. Otherwise the problem is NP-complete

Bulatov 2003

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#### Reflexive 4-cycle does not have a min ordering



## Reflexive 4-cycle does not have a min ordering





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## Reflexive 4-cycle does not have a min ordering



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#### For reflexive digraphs

### A min ordering exists if and only if H has no invertible pair

Feder+H+Huang+Rafiey 2009

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For reflexive graphs

H is an interval graph if and only if it has no invertible pair

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#### For reflexive graphs

H is an interval graph if and only if it has no invertible pair

### The following statements are equivalent for a reflexive graph H

- H has no asteroidal triple and no hole
- *H* has a consecutive clique enumeration
- H has no invertible pair

#### For reflexive graphs

H is an interval graph if and only if it has no invertible pair

### The following statements are equivalent for a reflexive graph H

- H has no asteroidal triple and no hole
- *H* has a consecutive clique enumeration
- H has no invertible pair

Implies the theorems of Lekkerkerker-Boland and Fulkerson-Gross.

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#### Majority H+Rafiey 2010

A digraph *H* admits a conservative majority if and only if it has no *permutable triple* 

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#### Majority H+Rafiey 2010

A digraph *H* admits a conservative majority if and only if it has no *permutable triple* 

#### *u* is not the result of *u*, *v*, *w*



Majority H+Rafiey 2010

A digraph *H* admits a conservative majority if and only if it has no *permutable triple* 

Permutable triple (in each permutation of u, v, w)



### Majority

A digraph *H* admits a conservative majority **if** it has no permutable triple

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### Majority

A digraph *H* admits a conservative majority **if** it has no permutable triple

#### Proof

g(u, v, w) is the first x in u, v, w without walks to s(x), b(x)



#### Proof

g(u, v, w) is the first x in u, v, w without walks to s(x), b(x)



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#### Proof

g(u, v, w) is the first x in u, v, w without walks to s(x), b(x)



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#### Maltsev H+Rafiey 2010

A digraph *H* admits a conservative Maltsev if and only if it has no end triple

### End triple



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List Homomorphisms and Interval Digraphs

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## Pair Digraph H<sup>+</sup>

- Vertices: Pairs (u, v) with  $u \neq v$
- Edges: From (*u*, *v*) to (*u*', *v*') if
  - $uu' \in E(H), vv' \in E(H), uv' \notin E(H)$
  - $u'u \in E(H), v'v \in E(H), u'v \notin E(H)$

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### Pair Digraph H<sup>+</sup>

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  - $u'u \in E(H), v'v \in E(H), u'v \notin E(H)$

#### Skew-symmetry

$$(u, v) \rightarrow (u', v') \iff (v', u') \rightarrow (v, u)$$

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## Pair Digraph H<sup>+</sup>

- Vertices: Pairs (u, v) with  $u \neq v$
- Edges: From (*u*, *v*) to (*u*', *v*') if
  - $uu' \in E(H), vv' \in E(H), uv' \notin E(H)$
  - $u'u \in E(H), v'v \in E(H), u'v \notin E(H)$

### Skew-symmetry

$$(u, v) \rightarrow (u', v') \iff (v', u') \rightarrow (v, u)$$

Each strong component *C* of  $H^+$  has a *coupled* strong component *C*' consisting of all (b, a) with  $(a, b) \in C$ 

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All conditions expressible in terms of  $H^+$ 

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All conditions expressible in terms of  $H^+$ , e.g.,

Invertible pair

*H* contains an invertible pair if and only if  $H^+$  has a self-coupled strong component.

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# **Digraph Asteroidal Triples**

### DAT

A permutable triple u, v, w with each pair (s(u), b(u)), (s(v), b(v)), (s(w), b(w)) being invertible

#### Recall permutable triple



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#### DATs make it hard

# If H has a DAT then the list homomorphism problem for H is NP-complete

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#### DATs make it hard

If H has a DAT then the list homomorphism problem for H is NP-complete

(s(u), b(u)) does not admit semi-lattice, majority, or Maltsev

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#### DATs make it hard

If H has a DAT then the list homomorphism problem for H is NP-complete

(s(u), b(u)) does not admit semi-lattice, majority, or Maltsev or

a direct proof, using the structure of a DAT

H+Rafiey 2010

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If *H* has no DAT, then there exist polymorphisms f (binary) and g (ternary), such that

- $f|\{u, v\}$  is semi-lattice whenever (u, v) is not invertible
- $g|\{u, v\}$  is majority whenever (u, v) is invertible

H+Rafiey 2010

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If *H* has no DAT, then there exist polymorphisms f (binary) and g (ternary), such that

- $f|\{u, v\}$  is semi-lattice whenever (u, v) is not invertible
- $g|\{u, v\}$  is majority whenever (u, v) is invertible

H+Rafiey 2010

#### Corollary

If H is DAT-free, then the list homomorphism for H is polynomial

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If H has no DAT, then there exists a polymorphism f (binary), such that

•  $f|\{u, v\}$  is semi-lattice whenever (u, v) is not invertible

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If H has no DAT, then there exists a polymorphism f (binary), such that

•  $f|\{u, v\}$  is semi-lattice whenever (u, v) is not invertible

#### Proof

Decide which f(x, y) = x and which f(x, y) = y, so that for non-invertible pairs we have f(x, y) = f(y, x)If  $(x, y) \rightarrow (x', y')$  in  $H^+$ , then  $f(x, y) = x \implies f(x', y') = x'$ 

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If H has no DAT, then there exists a polymorphism f (binary), such that

•  $f|\{u, v\}$  is semi-lattice whenever (u, v) is not invertible



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If H has no DAT, then there exists a polymorphism f (binary), such that

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# If *H* has no DAT

### DAT-free digraphs

If H has no DAT, then there exists a polymorphism g (ternary), such that

•  $g|\{u, v\}$  is majority whenever (u, v) is invertible

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# If H has no DAT

## DAT-free digraphs

If H has no DAT, then there exists a polymorphism g (ternary), such that

•  $g|\{u, v\}$  is majority whenever (u, v) is invertible

#### Proof

g(u, v, w) is the first x in u, v, w which occurs most frequently amongst f(u, v), f(v, u), f(v, w), f(w, v), f(u, w), f(u, w) and has no walks to an invertible pair s(x), b(x)



# Polynomial Dichotomy Classification for Digraphs

### For a digraph H

# If H is DAT-free, the list homomorphism problem for H is polynomial

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# Polynomial Dichotomy Classification for Digraphs

### For a digraph H

If *H* is DAT-free, the list homomorphism problem for *H* is polynomial Otherwise, the problem is NP-complete

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# Polynomial Dichotomy Classification for Digraphs

### For a digraph H

If *H* is DAT-free, the list homomorphism problem for *H* is polynomial Otherwise, the problem is NP-complete

Testing for the existence of a DAT is polynomial

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# Simpler Polymorphism Charaterization

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If *H* is DAT-free, then for every pair  $u, v \in V(H)$  there is a conservative polymorphism *f* of *H* such that  $f|\{u, v\}$  is semi-lattice or majority, and the list homomorphism problem for *H* is polynomial Otherwise the problem is NP-complete

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If *H* is DAT-free, then for every pair  $u, v \in V(H)$  there is a conservative polymorphism *f* of *H* such that  $f|\{u, v\}$  is semi-lattice or majority, and the list homomorphism problem for *H* is polynomial Otherwise the problem is NP-complete

Maltsev not needed

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If *H* is DAT-free, then for every pair  $u, v \in V(H)$  there is a conservative polymorphism *f* of *H* such that  $f|\{u, v\}$  is semi-lattice or majority, and the list homomorphism problem for *H* is polynomial Otherwise the problem is NP-complete

Maltsev not needed

Solved by local consistency algorithms

Width two suffices

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If *H* is DAT-free, then for every pair  $u, v \in V(H)$  there is a conservative polymorphism *f* of *H* such that  $f|\{u, v\}$  is semi-lattice or majority, and the list homomorphism problem for *H* is polynomial Otherwise the problem is NP-complete

Maltsev not needed

Solved by local consistency algorithms

Width two suffices

Valeriote 2009 + Barto-Kozik 2009

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List Homomorphisms and Interval Digraphs

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For an irreflexive oriented cycle H

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#### For an irreflexive oriented cycle H

• The homomorphism problem enjoys dichotomy Feder 2001

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#### For an irreflexive oriented cycle H

- The homomorphism problem enjoys dichotomy Feder 2001
- A DAT-free H admits a conservative majority H+Rafiey 2010

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#### For an irreflexive oriented cycle H

- The homomorphism problem enjoys dichotomy Feder 2001
- A DAT-free H admits a conservative majority H+Rafiey 2010
- If *H* has a conservative majority polymorphism, then the list homomorphism problem for *H* is polynomial Otherwise *H* contains a DAT and the problem is NP-complete

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### For Special Classes of Digraphs - Reflexive Trees

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# For Special Classes of Digraphs - Reflexive Trees



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## For Special Classes of Digraphs - Reflexive Trees



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## For Special Classes of Digraphs - General Trees

#### For a tree H

A DAT-free tree H admits a min ordering H+Rafiey 2010

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### For a tree H

- A DAT-free tree *H* admits a min ordering H+Rafiey 2010
- If H contains a DAT, the list homomorphism problem for H is NP-complete Otherwise H has a min ordering and the problem for H is polynomial

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### Conjecture for reflexive digraphs H

If H has a min ordering, then list homomorphism problem for H is polynomial, and otherwise it is NP-complete

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### Conjecture for reflexive digraphs H

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Carvalho+H+Rafiey 20??

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Carvalho+H+Rafiey 20??

List homomorphism algorithm

Give a direct proof that if H is a DAT-free digraph, then 2-consistency checking solves the list homomorphism problem

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Carvalho+H+Rafiey 20??

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#### Semi-lattice existence

Find a polynomial algorithm to decide if a *general* digraph admits a min ordering

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Carvalho+H+Rafiey 20??

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Feder+H+Huang+Rafiey 2009, H+Rafiey 2010

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Carvalho+H+Rafiey 20??

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Feder+H+Huang+Rafiey 2009, H+Rafiey 2010

NP-complete for two binary relations Bagan+Durand+Filiot+Gauwin 2010

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